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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/020,605	12/11/2001	Bharadwaj S. Amrutur	10010107-1 6541	
7.	590 03/30/2006		EXAM	INER
AGILENT TI	ECHNOLOGIES, INC.		TORRES, J	OSEPH D
Legal Departm	ent, DL429			
Intellectual Pro	perty Administration		ART UNIT	PAPER NUMBER
P.O. Box 7599			2133	· · · · · · · · · · · · · · · · · · ·
Loveland CO	80537-0599			

DATE MAILED: 03/30/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)
Interview Summary	10/020,605	AMRUTUR ET AL.
interview Summary	Examiner	Art Unit
	Joseph D. Torres	2133
All participants (applicant, applicant's representative, PTO	personnel):	
(1) <u>Joseph D. Torres</u> .	(3)	
(2) <u>Steven Greenfield</u> .	(4)	•
Date of Interview: <u>23 March 2006</u> .		
Type: a)⊠ Telephonic b)□ Video Conference c)□ Personal [copy given to: 1)□ applicant	2)⊡ applicant's representative	e]
Exhibit shown or demonstration conducted: d) ☐ Yes If Yes, brief description: <u>N/A</u> .	e)⊠ No.	
Claim(s) discussed: 1.		1
Identification of prior art discussed: Kumar.		
Agreement with respect to the claims f)☐ was reached.	g)☐ was not reached. h)⊠ t	N/A.
Substance of Interview including description of the genera reached, or any other comments: <u>Discussed possible ame</u>		
(A fuller description, if necessary, and a copy of the amendallowable, if available, must be attached. Also, where no callowable is available, a summary thereof must be attached.	copy of the amendments that v	reed would render the claims vould render the claims
THE FORMAL WRITTEN REPLY TO THE LAST OFFICE A INTERVIEW. (See MPEP Section 713.04). If a reply to the GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW ASTATEMENT OF THE SUBSTANCE OF THE INTERQUIREMENTS on reverse side or on attached sheet.	e last Office action has already OF ONE MONTH OR THIRT ERVIEW SUMMARY FORM,	been filed, APPLICANT IS Y DAYS FROM THIS WHICHEVER IS LATER, TO
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Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.	/ -/ Examiner's sign	ature, if required

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
 - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

HOWISON & ARNOTT, L.L.P.

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CONFIRMATION SENT VIA FIRST CLASS MAIL
YES NO X

NUMBER OF PAGES TO FOLLOW 12

FACSIMILE COVER SHEET

DATE:

March 8, 2006

TO: Joseph Torres, Group 2133

COMPANY:

United States Patent And Trademark Office

FAX NUMBER: 571 273-3829

FROM:

STEVEN GREENFIELD (972) 680-6058

SERIAL NO: 10/020,605

OUR FILE: 10010107-1 (AGIL-27349)

ATTACHED (pages): Applicant Initiated Interview Request Form(1), and Draft Amendment (11)

The information contained in or attached to this FAX message is intended only for the <u>confidential</u> use of the individual(s) named above. If you are not the named recipient or an agent responsible for delivering it to the named recipient, you are hereby notified that you have received this document in error and that review, dissemination or copying of this communication is prohibited. If you have received this communication in error, please notify us immediately by telephone and return the original documents to us by mail. Thank you

COMMENT:

PTCL-413A. (09-04)
Approved for use through 07/31/2006; OME 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

	Applicant Initiated Interview Request Form				
Application No.: 10/6 Examiner: TORE	020605 Es. Joseph	First Named Applica	at: B.A Status of App	M RUTUR	-FINAL OCTIVE ACTION
Tentative Participan (1) Steven, GRE		(2)	· · · · · · · · · · · · · · · · · · ·		7,01101-0
(3)		(4)		_	,
Proposed Date of Int	erview: 3/17	7	Proposed Ti		
Type of Interview Re	equested: (2) [] Persona	al (3) [] Video	Conference	Ea	standed stein
Exhibit To Be Shown If yes, provide brief o		ed: []YES	M NO		-
		Issues To Be Dis	cussed		
Issues (Rej., Obj., etc)	Claims/ Fig. #s	—	Discussed	Agreed	Not Agreed
(1) 05)	4,5	Prior Art	Ð	[]	[]
(2) <u>lej</u>	5,34-37	A-9	[]	[]	[]
(3) <u>lej.</u>	1,34-37	KUMAR,	[}	[]	[]
(4) RE) [] Continuation Shee	lo. Attached	ADAM	[]	H	(3
Brief Description of Arguments to be Presented: DRAFT Audment Attached.					
			·	***************************************	
An interview was conducted on the above-identified application on NOTE: This form should be completed by applicant and submitted to the examiner in advance of the interview					
(see MPEP § 713.01). This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b))					
as soon as possible.					
Applicant/Applicant's Representative Signature Examiner/SPE Signature					
STEVEN R. GREENFIELD Typed/Printed Name of Applicant or Representative					
38,166					
Registration Number, if applicable					

This collection of information in required by 57 CFR LASS. The information is required to shirth in retain a bondit by the public which is to file (and by the USFTO to process) as application. Confidentially is governed by 35 U.S.C. 125 and 57 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, herbridge published, properties, and minimizing the completed application form to the USFTO. Thus will very depending upon the individual term. Any comments on the minimizer of time year require to complete this form under magnetic first bursten, should be sent to the Chief Information Officer, U.S. Patent and Transmitted Officer, U.S. Department of Commerce, P.O. Ber 1450, Alexandric, VA 22313-1451.

TO THIS ADDRESS. SEND TO: Commence for Patentia, P.O. Ber 1450, Alexandric, VA 22313-1451.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Docket No.: AGIL-27,349

10010107-1 (PATENT)

In re Patent Application of: Bharadwaj S. Amrutur

Application No.: 10/020,605

Filed: December 11, 2001

For: SERIAL COMMUNICATIONS SYSTEM AND

METHOD

Confirmation No.: 6541

Art Unit: 2133

Examiner: Joseph D. Torres

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

INTRODUCTORY COMMENTS

In response to the Office Action dated January 4, 2006 (Paper No. 20051221), please amend the above-identified U.S. patent application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 6 of this paper.

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3

encoded data.

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DPAS) 1 1. (Currently Amended) A serial communications link comprising: 2 a scrambler device for receiving a source encoded data bit stream, the scrambler device 3 scrambles the data bit stream into scrambled groups of data in the data bit stream to statistically balance the number of logic low and logic high bits in the groups of data; and 4 5 an ECC encoder device that receives the scrambled groups of data from the scrambler 6 device and converts said scrambled groups of data into ECC-encoded data. 1 2. (Original) The system as recited in Claim 1, further comprising: 2 a serializer for converting said ECC-encoded data into serialized data; wherein the ECC-3 encoded data includes frame alignment information; and 4 the system further comprises a receiver for receiving said serialized data and converting the serialized data into data frames based upon the frame alignment information. 5 1 3. (Previously Presented) The system as recited in Claim 2, wherein the receiver 2 comprises: 3 a frame-recoverer for converting said serialized data into data frames; an ECC decoder for converting said data frames into ECC-decoded data and error 4 5 indications; and 6 a descrambler for converting said ECC-decoded data into de-scrambled data. 1 4. (Previously Presented) The system as recited in Claim 3, wherein said frame-2 recoverer uses said error indications in converting said serialized data into data frames. 1 (Currently Amended) The system as recited in Claim 1, wherein said ECC encoder

applies an error correction code in converting said scrambled groups of data into said ECC-

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(PATENT)

1	6. (Currently Amended) A serial communications method, comprising the steps	of:		
2	receiving a data bit stream, from an originating source, at a scrambler device,	said data		
3	bit stream comprising data bits and other bits;			
4	converting said data bit stream into groups of scrambled data, by said scramble	r device,		
5	prior to performing another data function on said data bit stream, said groups of scrambled data			
6	each comprising groups of data bits having a statistically balanced number of logic low and logic			
7	high data bits in each group ; and			
8	converting said scrambled data into ECC-encoded data.			
	:.			
1	7. (Original) The method as recited in Claim 6, further comprising the steps of:			
2	generating a serial stream of the ECC-encoded data; and			
3	transmitting said serial stream.			
1	8. (Original) The method of Claim 7, wherein:	. "		
2	the ECC-encoded data includes frame alignment information; and			
3	the method further comprises receiving said serialized data and converting said s	erialized		
4	data into data frames based upon said frame alignment information.			
1	9. (Original) The method of Claim 7, further comprising:	•		
2	receiving said serialized data;			
3	converting said serialized data into data frames;	•		
4	converting said data frames into ECC-decoded data and error indications; and			
5	converting said ECC-decoded data into de-scrambled data.			
1	10. (Original) The method of Claim 9, wherein the step of converting the serial	zed deta		
2	comprises converting the serialized data into data frames based upon said error indication	19:		

comprises converting the serialized data into data frames based upon said error indications.

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(PATENT)

11. - 33. (Canceled)

• 3	4. (Current)	y Amended)	A serial	communi	cation link	comprising
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- a scrambler device programed to convert a received bit stream into groups of K scrambled data bits so as to statistically balance the number of logic low and logic high bits in each group of K scrambled data bits, said received bit stream being without redundant bits and without being substantially only source encoded prior to being scrambled; and
- an ECC encoder programmed to convert said scrambled data into ECC-encoded data.
- 1 35. (Currently Amended) A serial communications link comprising:
- a scrambler device for receiving a data bit stream having being substantially only data

 source encoded no previous encoding or byte reordering done to said data bit stream, the
- 4 scrambler device scrambles the data bit stream into scrambled groups of data in the data bit
- 5 stream and converts said data bit stream into serambled groups of data; and
- an ECC encoder device that receives the scrambled groups of data from the scrambler

 device and converts said scrambled groups of data into ECC-encoded data.
- 1 36. (Currently Amended) A serial communications method, comprising the steps of:
- 2 receiving a data bit stream at a scrambler device, said data bit stream comprising data bits
- 3 and other bits <u>resulting from data source encoding</u> that have not been previously encoded or byte
- 4 reordered;
- 5 converting said data bit stream into grouped scrambled data, by said scrambler device,
- 6 prior to performing another data function on said data bit stream; and
- 7 converting said scrambled data into ECC-encoded data.

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(PATENT)

- 1 37. (Currently Amended) A serial communication link comprising:
- a scrambler device programed to convert a data source encoded an unencoded received
- 3 bit stream[[,]] into grouped scrambled data; and
- 4 an ECC encoder programmed to convert said scrambled data into ECC-encoded data.

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(PATENT)

REMARKS

Reconsideration and Allowance are respectfully requested in view of the foregoing amendments and the following remarks.

Claims 1-10 and 34-37 are pending in this Application.

Claims 1, 5, 6 and 34-37 have been amended.

Regarding the Claim Objections

Claim 5 was objected to under 37 CFR 1.75(c) for having improper dependent form and for failing to further limit the subject matter of the previous claim.

Applicant has carefully reviewed Claim 5 and notes that it is properly dependent upon Claim 1. Furthermore, Claim 5 further limits the subject matter of Claim 1 by stating "said ECC encoder applies an error correction code in converting said scrambled groups of data in said ECC-encoding data." It is understood that an ECC device may perform its error correction code method using various hardware and software related techniques, which involve the use or non-use of polynomials or codes. As such, Applicant respectfully requests that the objection to Claim 5 be withdrawn because claim 5 is properly dependent on Claim 1 and because Claim 5 further limits the subject matter of Claim 1.

Claim 4 was objected to because it is thought to substantially recite elements that are already in Claim 1. Applicant respectfully points out that Claim 4 is dependent upon Claim 3 and narrows the scope of the frame-recoverer, which is not recited in Claim 1. As such, Applicant respectfully requests that the claim objection to Claim 4 be withdrawn.

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Regarding the § 112 Rejection

Claims 5 and 34-37 were rejected under 35 U.S.C. §112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which the

Applicant regards as the invention.

With respect to Claim 5, Applicant has amended Claim 5 to correct the insufficient

antecedent basis that was pointed out by the Examiner. Applicant respectfully requests that the

§112 rejection be withdrawn.

With respect to Claims 34-37, Applicant understands the confusion that the Examiner

encountered in determining the meaning of the claims. As such, Applicant has amended Claims

34-37 to recite generally that the data bit stream being received is substantially only source

encoded. As such, Applicant respectfully believes that these claims are now definite and

particularly point out and distinctly claim the subject matter that the Applicant regards as the

invention. Applicant respectfully appreciates the Examiner's careful review of these claims and

proper assumption that the received bit stream is at least source encoded.

Regarding the § 101 Rejection

Claims 34-37 were rejected under 35 U.S.C. §101 because it was believed the claims

lack patentable utility. Applicant has amended Claims 34-37 to make clear that the received bit

stream is substantially data source encoded or resulting from data source encoding. As such,

Applicant respectfully requests that this §101 rejection be withdrawn and submits that these

claims are ready for allowance.

Regarding the § 102 Rejection

Claims 1, 5, 34, 35 and 37 were rejected under 35 U.S.C. §102(b) for being anticipated

by Kumar (U.S. Patent Number 5,825,807). Applicant would agree that Kumar teaches a

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scrambler device that receives a bit stream (scrambler 102 in Fig. 9 of Kumar). The Kumar scrambler device does not scramble groups of data in the data bit stream, but instead only teaches scrambling the data in the data bit stream. This is made clear by reading Kumar with respect to Fig. 9, which is a block diagram of the transmitter system according to one of Kumar's embodiments. Note that Kumar discusses Fig. 9 by referring back to Fig. 1 on various occasions. See Column 20, Lines 24-52. Referring back to Fig. 1 and the related discussion of Fig. 1 in Kumar, we find that the source bit information (message) 1 is randomized by scrambler 2, which multiplies the binary message 1 by a scrambling polynomial. Column 6, Lines 55-64. After the scrambling function is performed 2 then "redundancy is added to the scrambled source. message by error correction code (ECC) encoder 3." Kumar Column 7, Lines 12-15. Kumar does not teach, allude to, or anticipate using the scrambler 2 to scramble the data bit stream into groups of data. In fact, Kumar does not teach, anticipate, or suggest dividing the data stream into groups until after the BCC encoding. The error encoded message is reordered by interleaver 4 after the error encoded message is interleaved, only then is the data divided into groups. At Column 7 beginning at Line 57, Kumar states, "the encoded and interleaved message is divided into groups of bits, which are to be simultaneously transmitted in a single information symbol or baud, by serial-to-parallel converter 5." As such, Kumar specifically requires that the data stream not be divided into groups until after it is encoded, interleaved and transmitted by the serial-to-parallel converter.

With respect to Independent Claim 1, this claim recites, among other things, that "the scrambler device scrambles the data bit stream into scrambled groups of data." With respect to Claim 34, this claim recites, among other things, "a scrambler device programmed to convert a received bit stream into groups of K scrambled data bits." Claim 35 recites, among other things, "[The scrambler device scrambles the data bit stream into scrambled groups of data." And,

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Claim 37 recites, among other things, "a scrambler device programmed to convert a data source encoded bit stream into grouped scrambled data." As such, Applicant respectfully points out that Kumar does not teach or anticipate Claims I, 34, 35 and 37. Applicant respectfully requests that the §102 rejection be withdrawn and submits that these claims are ready for allowance.

Claim 5 is dependent upon Claim 1 and is therefore not anticipated for at least the same reasons as discussed above with respect to Claim 1.

Claims 6-8 were rejected under 35 U.S.C. §102(e) for being anticipated by Adam (U.S. Patent Number 6,628,725).

Claim 6 has been amended to recite, among other things, "receiving the data bit stream, from an originating source, at a scrambler device, said data bit stream comprising data bits and other bits." Claim 6 continues by reciting, "converting said data bit stream into groups of scrambled data, by said scrambler device, prior to performing another data function on said data bit stream."

Adam, in Fig. 1, teaches a data stream 102 being provided to an encoder 104. The encoder 104 is shown in Fig. 2 as having a plurality of elements being 202, 204, 206, and 208. The encoder of Adam, in Fig. 2, reads the next six characters from the data stream at step 202; then at step 204, the encoder performs control character encoding and byte reordering. See Adam Column 3, Lines 44-50. It is not until after step 204 that Adam scrambles the data at step 206. Adam clearly teaches and performs a data encoding function prior to scrambling the received data from step 202.

Claim 6 recites, among other things, "converting said data bit stream into groups of scrambled data, by said scrambler device, prior to performing another data function on said data bit stream." Applicant respectfully submits that because Adam encodes the data at step 204 prior to scrambling the data at step 206, then Adam does not anticipate or teach Claim 6. Furthermore

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in Fig. 3 of Adam, it is noted that it is between steps 302 and 304 where code character encoding

is performed. Code character encoding is not considered scrambling. Between steps 304 and

306 of Fig. 3 is where the scrambling occurs in Adam. Applicant further submits that Adam does

not anticipate or teach converting "said data bit stream into groups of scrambled data, by said

scrambler device, prior to performing another data function on said data bit stream." As such,

Applicant respectfully requests that the §102 rejection be withdrawn and submits that Claim 6 is

allowable.

With respect to Claims 7 and 8, these claims are either directly or indirectly dependent

upon Claim 6 and are therefore not anticipated for similar reasons as discussed above with

respect to Claim 6.

Regarding the § 103 Rejection

Claims 2 and 36 were rejected under 35 U.S.C. §103(a) for being rendered obvious by

Kumar in view of Adam. Claim 2 is dependent upon Claim 1 and is similarly distinguishable

over Kumar in view of Adam by at least the virtue of its dependency from the patently distinct

base Claim 1.

Claim 36 has been amended to recite, among other things, "receiving a data bit stream at

a scrambler device, said data bit stream comprising data bits and other bits resulting from data

source encoding." Claim 36 further recites "converting said data bit stream into grouped"

scrambled data, by said scrambler device, prior to performing another data function on said data

bit stream." For the reasons discussed above with respect to the inadequacies of Kumar and

Adam, Applicant respectfully submits that Claim 36 is not rendered obvious or alluded to by the

recited combination of references. Applicant respectfully requests that the §103 rejection be

withdrawn and submits that Claim 36 is ready for allowance.

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(PATENT)

Applicant further submits that the remaining claims are each similarly distinguishable over the prior art of record, at least by the virtue of each claim's ultimate dependency from a patently distinct base claim.

Applicant respectfully believes that all outstanding grounds raised by the Examiner have been addressed, and thus submits the present case is in condition for allowance, the early notification of which is earnestly solicited.

DRAFT

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Respectfully submitted,

DRAFT

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